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		Application Number	09/412,408		
		Filing Date	October 5, 1999		
		First Named Inventor	Kevin FOLEY		
		Art Unit	3600		
		Examiner Name	Calvin L. Hewitt II		
Sheet	1	of	1	Attorney Docket Number	336001-2026

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Sam JOHNSON/goldman, sachs & co., FIXProtocol, "FIX 4.1 Specification Draft is available" January 12, 1998 http://www.fixprotocol.org/discuss/read/33ed5258	
		Peter CHAPMAN, TRADERS magazine.com, "Order out of Chaos on Buy-Side Desks: Proliferating Order Management Systems" September 1999 http://tradersmagazine.com/issues/19990930/2	
		"J.P. Morgan. PricewaterhouseCoopers propose FpML, a new e-commerce standard" June 9, 1999 http://xml.coverpages.org/fpml-press9906.html	
		Emmerich W. et al., "Markup meets middleware", DISTRIBUTING COMPUTING SYSTEMS, Proceedings. 7th IEEE Workshop on Future Trends of Capetown, S. Africa Dec.20-22, 1999.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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